

Lecture 6: derived Blow-ups and virtual Cartier divisors.

§ 1. A quick review of classical Blow ups in algebraic geometry.

As warm-up, recall the following properties of Blow ups:

Setting: X : locally Noetherian scheme.

\mathcal{I} quasi-coherent sheaf of ideals.

$\pi: \tilde{X} = \text{Bl}_{V(\mathcal{I})}(X) \rightarrow X$ Blow up of X with center in $V(\mathcal{I})$.

Then:

(1) π is iso $\iff \mathcal{I}$ invertible sheaf on X

(2) π is proper

(3)

$\tilde{Z} \rightarrow X, Z$ locally Noeth. $\tilde{Z} = \text{Bl}_{V(\mathcal{I}\mathcal{O}_Z)}(Z) \rightarrow Z$
flat

$\implies \tilde{Z} = \tilde{X} \times_{\tilde{X}} Z$ (stability under base change)

(4) π induces an isomorphism $\pi^{-1}(X \setminus V(\mathcal{I})) \cong X \setminus V(\mathcal{I})$.

if X is integral, then \tilde{X} is integral and π is birational ($\mathcal{I} \neq 0$).

Universal property:

Prop: $f: W \rightarrow X$ morphism between locally Noetherian schemes.

\mathcal{I} quasi-coherent sheaf of ideals in $X, \mathcal{J} = (f^{-1}\mathcal{I})\mathcal{O}_W \hookrightarrow \mathcal{O}_W$.

Let $\pi: \tilde{X} = \text{Bl}_{V(\mathcal{I})}(X) \rightarrow X$.

$\rho: \tilde{W} = \text{Bl}_{V(\mathcal{J})}(W) \rightarrow W$.

$\implies \exists! \tilde{f}: \tilde{W} \rightarrow \tilde{X}$ such that

$$\begin{array}{ccc} \tilde{W} & \xrightarrow{\tilde{f}} & \tilde{X} \\ \rho \downarrow & & \downarrow \pi \\ W & \xrightarrow{f} & X \end{array} \text{ commutes.}$$

Corollary: if $(f^{-1}\mathcal{I})\mathcal{O}_W = \mathcal{J}$ is invertible

$\implies W \cong \tilde{W}$ by (1) above, and so $\exists! g: W \rightarrow \tilde{X}$, that

$$\begin{array}{ccc} W & \xrightarrow{g} & \tilde{X} \\ & \searrow f & \downarrow \pi \\ & & X \end{array}$$

Geometric rephrasing: $Z := V(\mathcal{I}) \hookrightarrow X$.

$f: W \rightarrow X, \checkmark$ if $f^{-1}(Z)$ is (scheme theoretically) an effective Cartier divisor in W , then $\exists! g: W \rightarrow \tilde{X}$ over X .

Our goal: generalize to the derived setting (in particular, allow an arbitrary morphism f and prove a stronger universal property).

§ 2. Quasi smooth immersions.

We begin with the classical definition:

of course the def. makes sense even for $f=0$.

Def: A commutative ring; $f \in A$ a (non zero) element.

Define $K^A(f) := (A \xrightarrow{f} A)$ (chain complex). This is called the Koszul complex of f .

Note: $H_0(K^A(f)) = A/f.A$; $H_1(K^A(f)) = \text{Ann}_A(f) = \text{Ker}(A \xrightarrow{f} A)$; $H_i(K^A(f)) = 0$ for $i \neq 0, 1$.

The element f is regular (in A) $\iff K_*^A(f) \cong A/f[0] \iff H_i(K^A(f)) = 0$ for $i \neq 0$.

Given elements $f_1, \dots, f_r \in A$, write $K^A(f_1, \dots, f_r)$ for the tensor product:

Explicitly: $K_p^A(f_1, \dots, f_r)$ free A -module, iso to $\Lambda^p(A^{\oplus r})$.

Prop: If, for all $i, 1 \leq i \leq r$, f_i is not a zero divisor in $A/(f_1, \dots, f_{i-1})$, then, $H_p(K^A(f_1, \dots, f_r)) = 0 \quad \forall p > 0$
 ($\Leftrightarrow K^A(f_1, \dots, f_r) \simeq A/(f_1, \dots, f_r)[0]$).

Rmk (Serre, local Algebra or Bertelot in SGA 6). The converse to the above statement holds if A is Noetherian and the f_i 's belong to the radical of A .

Following SGA 6, we say that the sequence is regular if $K^A(f_1, \dots, f_r)$ is acyclic in positive degrees.

We also need to recall the following:

Prop (Serre, local algebra, IV.2). Suppose that A is Noeth., that the f_i are in the radical of A and that the sequence (f_1, \dots, f_r) is regular.

Then $K^A(f_1, \dots, f_r)$ is a free resolution of $A/(f_1, \dots, f_r)$, and thus, $\forall A$ -Module M (not necessarily finitely generated) we have:

$$\text{Tor}_i^A(A/(f_1, \dots, f_r), M) \simeq H_i(K^A(f_1, \dots, f_r) \otimes_A M).$$

In other words, $K^A(f_1, \dots, f_r) \otimes_A M \simeq A/(f_1, \dots, f_r) \otimes_A^{\mathbb{L}} M$.

In general, consider now a sequence $(f_1, \dots, f_r) \in A$ (not nec. regular).

This determines a morphism $\mathbb{Z}[T_1, \dots, T_r] \rightarrow A$, $T_i \mapsto f_i$.

The Koszul complex $K^A(f_1, \dots, f_r)$ is then q. iso to

$$A \otimes_{\mathbb{Z}[T_1, \dots, T_r]}^{\mathbb{L}} \mathbb{Z}[T_1, \dots, T_r] / (T_1, \dots, T_r).$$

(Note that T_1, \dots, T_r is a reg. sequence in $\mathbb{Z}[T_1, \dots, T_r]$)

Global version: $\mathbb{Z} \hookrightarrow X$ closed immersion of schemes.

Def: i is regular if its ideal of definition $\mathcal{I} \subset \mathcal{O}_X$ is (Zariski)-locally generated by a regular sequence.

Consequence: $\mathcal{I}/\mathcal{I}^2 (=:\mathcal{N}_{\mathbb{Z}/X})$ locally free of rank $= m (=:\text{codim}_X(\mathbb{Z}))$.

We say that $D \hookrightarrow X$ is an effective Cartier divisor if i is a regular immersion \mathcal{O}_D such that $\mathcal{I}_D/\mathcal{I}_D^2$ is locally free of rank $= 1$.

Rmk: for a regular immersion $\mathbb{Z} \hookrightarrow X$, we have $\mathcal{L}_{\mathbb{Z}/X} = \mathcal{N}_{\mathbb{Z}/X}[1]$.

We can reformulate the above discussion in the framework of derived algebraic geometry as follows:

Prop: $i: \mathbb{Z} \hookrightarrow X$ is a regular immersion $\xrightarrow{\text{Zariski locally on } X}$

$$\Leftrightarrow \exists f^k: \begin{array}{ccc} \mathbb{Z} & \hookrightarrow & X \\ \downarrow \Gamma & & \downarrow f \\ \{0\} & \hookrightarrow & \mathbb{A}^n \end{array}$$

is homotopy cartesian in DSch.

$\leftarrow \text{locally } \leftarrow (f_1, \dots, f_n): \text{Spec}(A) \rightarrow \mathbb{A}^n$

Rmk on regular immersions and blowups:

X locally Noetherian ~~scheme~~ \mathbb{A}^1 -scheme. $Z \hookrightarrow X$ regular immersion. (can replace locally

$\tilde{X} = \text{Bl}_Z(X)$. Then \tilde{X} satisfies:

Let $Z' := \tilde{X} \times_X Z$. Then $Z' \cong \mathbb{P}(N_{Z/X})$.

(in particular, if $N_{Z/X}$ free of rank d , $Z' \cong \mathbb{P}_Z^{d-1}$).

Noeth. by quasi compact and q. sep., provided that we use the strong version of regularity (SGA 6))

We are now ready to extend the notion of regularity to the derived setting.

$A \in \text{SCRing}$. Let f_1, \dots, f_r be elements of A (i.e. f_i are points of the underlying sset).

We set $A//_{(f_1)} := A \otimes_{\mathbb{Z}[T]}^{\mathbb{L}} \mathbb{Z}[T]/(T)$, as SCRing

As underlying A -module, we have $A//_{(f_1)} = \text{Cof}(A \xrightarrow{f_1} A)$

(= homotopy cofiber in Mod_A).
(stable category)

$\mapsto A \xrightarrow{f_1} A \rightarrow A//_{(f_1)}$ fiber sequence
(of spaces...).

More generally, define the SCRing $A//_{(f_1, \dots, f_r)}$ by $A \otimes_{\mathbb{Z}[T_1, \dots, T_r]}^{\mathbb{L}} \mathbb{Z}[T_1, \dots, T_r]/(T_1, \dots, T_r)$
(where the map $\mathbb{Z}[T_1, \dots, T_r]$ is given by $T_i \mapsto f_i$).

Rmk/Examples: 1) We have $\pi_0(A//_{(f_1, \dots, f_r)}) \cong \pi_0(A)/(f_1, \dots, f_r)$.

2) We have underlying module: $A//_{(f_1, \dots, f_r)} \cong (A//_{f_1}) \otimes_A^{\mathbb{L}} (A//_{f_2}) \otimes_A^{\mathbb{L}} \dots \otimes_A^{\mathbb{L}} (A//_{f_r})$.

3) Suppose $f=0$. Then $A//_{(0)} = \text{Cof}(A \xrightarrow{0} A) \cong A \oplus A[1]$
 A discrete

4) Suppose A discrete. Then $A//_{(f_1, \dots, f_r)} \cong K^A(f_1, \dots, f_r)$
 $(f_1, \dots, f_r) \in A$
as module

$\Rightarrow \pi_0(A//_{(f_1, \dots, f_r)}) = \text{Ho}(K^A(f_1, \dots, f_r)) = A/(f_1, \dots, f_r)$.

The sequence (f_1, \dots, f_r) is regular $\Leftrightarrow A//_{(f_1, \dots, f_r)}$ is discrete, quasi iso to $A/(f_1, \dots, f_r)[0]$.

Def: $i: Z \hookrightarrow \mathcal{X}$ closed immersion of derived schemes. (recall: we have seen in lecture 4 that $Z \rightarrow \mathcal{X}$ morphism of derived schemes is a closed embedding $\Leftrightarrow Z_{cl} \hookrightarrow \mathcal{X}_{cl}$ is a closed embedding of classical schemes)

We say that i is quasi-smooth if \exists , Zariski locally on \mathcal{X} a map $f: \mathcal{X} \rightarrow \mathbb{A}^n$ in DSch

and a square

$$\begin{array}{ccc} Z & \hookrightarrow & \mathcal{X} \\ \downarrow & & \downarrow f \\ \{0\} & \hookrightarrow & \mathbb{A}^n \end{array}$$

which is homotopy cartesian in DSch.

Equivalently, locally on \mathcal{X} , Z is of the form $A//_{(f_1, \dots, f_r)}$.

Key remark: if both \mathcal{X} and Z are classical, then $i: Z \hookrightarrow \mathcal{X}$ is q. smooth \Leftrightarrow it is a regular embedding in the classical sense.

One can prove the following proposition:

Prop: $i: Z \hookrightarrow X$ closed immersion of derived schemes. Then i is quasi-smooth $\Leftrightarrow L_{Z/X}[-1]$ (shifted cotangent complex) is a locally free \mathcal{O}_Z -module of finite rank.

Sketch: if $i: Z \rightarrow X$ is quasi smooth, then $L_{Z/X} \simeq f^* L_{\mathbb{A}^n/\{0\}}$ (Zariski-locally) (since the square is cartesian) \Rightarrow look at $L_{\mathbb{A}^n/\{0\}}[-1] \simeq N_{\{0\}/\mathbb{A}^n} \simeq \mathbb{A}^n/\mathbb{A}^n \simeq \mathbb{A}^n$ is (locally) free of rank $= n$.

Conversely, suppose $L_{Z/X}[-1]$ loc. free of rank n . \leadsto assume $X = \text{Spec}(A)$, $Z = \text{Spec}(B)$
 $L_{Z/X}[-1] \simeq B^{\oplus n}$. Look at $\pi_0(A) \rightarrow \pi_0(B)$.

$F := \text{Fib}(A \rightarrow B) \leadsto$ get map $B \otimes_A^L F[-1] \rightarrow L_{B/A}$ (Thm. 7.4.3.1 Lurie H.A.)

By Lemma \leadsto get $\pi_0(B \otimes_A^L F) \simeq \pi_1(L_{B/A})$, iso of $\pi_0(B)$ modules. (Prop. 25.3.6.1 in SAG).

left $f_1, \dots, f_n \in A \leftarrow df_1, \dots, df_n$ generators (free by assumption)

\Rightarrow can look at $A//\langle f_1, \dots, f_n \rangle \xrightarrow{\varphi} B$, inducing iso on π_0 .

By H.A. Cor 7.4.3.4, enough to show $L_{\varphi} \simeq 0$. free of rank $= n$

This follows from: $L(A//\langle f_1, \dots, f_n \rangle) \otimes_A^L B \rightarrow L_{B/A} \rightarrow L_{\varphi} \rightarrow 0$
 $\xrightarrow{\text{free of rank } = n} \Rightarrow L_{\varphi} \simeq 0$.

Given the previous proposition, we can make the following definition:

Def: We define $N_{Z/X} := L_{Z/X}[-1]$ for any quasi-smooth embedding, $Z \hookrightarrow X$.

It is locally free of finite rank $= n =: \text{virtual codimension of } Z \text{ in } X$.

$N_{Z/X}$ is defined to be the conormal sheaf of Z in X .

Rmk: (1) In classical algebraic geometry, the typical example of regular embedding is the following:

$f: X \rightarrow Y$ morphism of finite type of regular schemes. ✓ Zariski

Then f is a local complete intersection, i.e. f can be factored, locally on X

as $X \xrightarrow{i} Z \xrightarrow{g} Y$, where i is a regular immersion and g is smooth.

\rightarrow Indeed: locally f can be factored as $X \hookrightarrow \mathbb{A}_Y^n \rightarrow Y$. Assume X, Y locally Noetherian.

Both X, \mathbb{A}_Y^n are regular by assumption \Rightarrow use the following Basic Comm. algebra

Lemma: (A, \mathfrak{m}) regular local ring, Noetherian.

$I \subsetneq A$ ideal. Suppose A/I is regular. Then I is generated by r elements of a ~~local~~ system of parameters for A , where $r = \dim A - \dim A/I$.

Consequence: any closed immersion between regular schemes is a regular immersion.

(2) Back to DSch. Suppose X, Z are smooth over some base S .

(recall: A, B sCRing, B A -algebra of finite presentation. We say that B is smooth over A if $L_{B/A}$ is finitely gen & projective).

So, if \mathcal{X} and Z are smooth over some S , look at:

$$i^* \mathcal{L}_{\mathcal{X}/S} \rightarrow \mathcal{L}_{Z/S} \rightarrow \mathcal{L}_{Z/\mathcal{X}} \Rightarrow \mathcal{L}_{Z/\mathcal{X}}[-1] \text{ is locally free of finite rank.}$$

$\Rightarrow Z \hookrightarrow \mathcal{X}$ quasi smooth.

(3) Important remark: the virtual codimension is stable under arbitrary pullbacks (this follows from the stability of $\mathcal{L}_{Z/\mathcal{X}}$ under pullbacks). Note that this is NOT the case for the usual notion of codimension.

Def. $\mathcal{X} \in \text{DSch}$. We call $D \hookrightarrow \mathcal{X}$ a Virtual Cartier divisor (effective) if i_D is a quasi smooth embedding of virtual codimension = 1.

derived

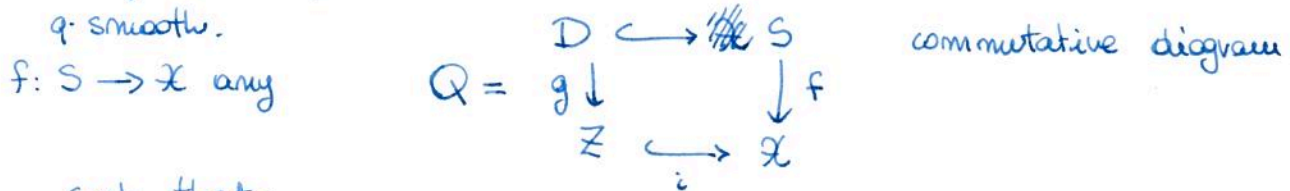
$\rightarrow \text{codim. vir}(Z, \mathcal{X}) \geq \text{codim}(Z^{\text{cl}}, \mathcal{X}^{\text{cl}})$ (if \mathcal{X} locally Noetherian)

Ex: any effective Cartier divisor is a ~~the~~ virtual Cartier divisor.

§ 3. Blow ups revisited.

We begin from the notion of Cartier divisor lying over a quasi smooth embedding.

Def: A virtual Cartier divisor D on S over (Z, \mathcal{X}) is:



such that:

- 1) $D \hookrightarrow S$ is Virtual Cartier divisor on S
- 2) The underlying square Q^{cl} is Cartesian
- 3) The canonical map $g^* N_{Z/\mathcal{X}} \rightarrow N_{D/S}$ is surjective on π_0 .

Ex: if \mathcal{X}, Z, S are classical and if $f^{-1}(Z)$ is a Cartier divisor D on S

- 1) then $D \hookrightarrow S$ is also a virtual Cartier divisor lying over (Z, \mathcal{X}) in the above sense.
- 2) if Q is Cartesian, then $g^* N_{Z/\mathcal{X}} \cong N_{D/S}$ (by construction). This forces the virtual codim. of Z in \mathcal{X} to be already = 1 (Z is a Cartier divisor in \mathcal{X}).

Equivalent description: set $S_Z := S \times_{\mathcal{X}}^{\mathbb{R}} Z$.

Then $D \hookrightarrow S$ is equivalently the datum of a map $D \xrightarrow{h} S_Z$ such that $D \hookrightarrow S_Z \hookrightarrow S$ exhibits D as ~~the~~ Virtual Cartier divisor on S s.t. that $D^{\text{cl}} \cong S_Z^{\text{cl}}$ and satisfying $h^* N_{S_Z/S} \rightarrow N_{D/S}$ onto (on π_0).

$\Leftrightarrow \mathcal{L}_{D/S_Z}$ is 1-connected ($\pi_i(\mathcal{L}_{D/S_Z}) = 0 \quad i \leq 1$).

We now define a space of Cartier divisors lying over (Z, \mathcal{X}) , functorial in S :

Step-by-step construction:

$\text{DSch}/S_Z = \infty\text{-cat of DSchemes } W \rightarrow S_Z$

Inside DSch/S_Z , consider subspace $\left\{ D \xrightarrow{h} S_Z \mid \text{Gofib}(h^* N_{S_Z/S} \rightarrow N_{D/S}) \right\}$
 $D \xrightarrow{\text{cl}} S_Z^{\text{cl}}$ is 1-connected

\Leftrightarrow let's work on affine charts: $X = \text{Spec}(A)$
 $Z = \text{Spec}(B)$ $S = \text{Spec}(R)$.

$\text{VCart}_{B/A}(R) = \text{subcategory of } (\text{Mod}_R) / (R \otimes_A^{\mathbb{L}} B)$ ($R \otimes_A^{\mathbb{L}} B$ seen as R -module)

such that:

Morphisms in $\text{VCart}_{B/A}(R) = \text{equivalences}$.

Objects: maps $h: L \rightarrow R \otimes_A^{\mathbb{L}} B$ such that

i) $\pi_0(L) \cong \pi_0(R \otimes_A^{\mathbb{L}} B)$

ii) $L(L/R)^{[-1]}$ free of rank = 1

iii) $L(R \otimes_A^{\mathbb{L}} B/R)^{[-1]} \rightarrow L(L/R)^{[-1]}$ has 1-connected cofiber.

Note that all conditions are stable under base change

\Rightarrow Defines a ~~pp~~ subsheaf of DSch/S_Z . Call such guy $\text{Bl}_{Z/X}$. It is a derived stack.

$\text{Bl}_{Z/X}: \text{DSch}/X \rightarrow \text{Spc}$, $(S \rightarrow X) \mapsto \text{Bl}_{Z/X}(S \rightarrow X)$.

The S -points are precisely the virtual Cartier divisors lying over (Z, X) .

Thm: (i) $\text{Bl}_{Z/X}$ is schematic (i.e. it is a derived scheme)

(ii) $\text{Bl}_{Z/X} \rightarrow X$ is stable under derived base change (cfr with Univ. property in classical sense)

(iii) There is a canonical closed immersion $\mathbb{P}_Z(N_{Z/X}) \hookrightarrow \text{Bl}_{Z/X}$

(cfr blow ups along regular immersions). $\xrightarrow{\text{virtual}}$ $\text{Bl}_{Z/X}$

The scheme $\mathbb{P}_Z(N_{Z/X})$ is the universal Cartier divisor lying over (Z, X) .

(iv) $\pi: \text{Bl}_{Z/X} \rightarrow X$ is proper, and $\text{Bl}_{Z/X} - \mathbb{P}_Z(N_{Z/X}) \cong X - Z$.

(v) if Z, X are classical, then $\text{Bl}_{Z/X}$ is classical and coincides with $\text{Bl}_{Z/X}^{\text{cl}} (= \text{Bl}_{Z^{\text{cl}}/X^{\text{cl}}} = \text{classical Blow up})$.

Cor: $Z \rightarrow X$ regular closed immersion of classical schemes.

$\forall S \rightarrow X$ classical, the sets $\text{Hom}_{\text{Sch}/X}(S, \text{Bl}_{Z/X}^{\text{cl}}) \cong \{ \text{virtual Cartier divisors lying over } (Z, X) \}$ are in bijection.

"Universal property for the classical blow up".

Ex: $X = \text{Spec}(A)$ classical (Noetherian) scheme.

$Z = \text{Spec}(A/I)$, $I = (f_1, \dots, f_r)$ ideal. $\rightarrow A/(f_1, \dots, f_r) = A \otimes_{\mathbb{Z}[T_i]}^{\mathbb{L}} \mathbb{Z}[T_i]/(T_i)$

$\text{Spec}(A/(f_1, \dots, f_r)) \hookrightarrow \text{Spec}(A)$ quasi smooth for any I .

$\text{Bl}_{A/(f_1, \dots, f_r)}/(A) \cong_{\uparrow} \text{Spec}(A) \times_{\mathbb{A}^n}^{\text{IR}} (\text{Bl}_{\{0\}/\mathbb{A}^n})$

This is the construction

The proof of the existence of $\mathcal{B}l_{\mathbb{Z}/X}$ goes by gluing local charts.

Special case: $Y := \mathcal{B}l_{\{0\}/\mathbb{A}^n}$.

Affine chart: $1 \leq k \leq n$, $A_k = \mathbb{Z}[T_1/T_k, \dots, T_n/T_k, T_k]$

$$\leadsto D_k = \text{Spec}(A_k / (T_k)) \longleftrightarrow \tilde{Y}_k = \text{Spec}(A_k)$$

$$\begin{array}{ccc} \downarrow & & \downarrow \\ \{0\} = \text{Spec}(\mathbb{Z}[T_1, \dots, T_n] / (T_1, \dots, T_n)) & \hookrightarrow & \mathbb{A}^n \end{array}$$

D_k is a virtual Cartier divisor lying over $(\mathbb{A}^n, \{0\})$.

By univ. property of $Y = \mathcal{B}l_{\{0\}/\mathbb{A}^n}$, \exists map $\tilde{Y}_k \rightarrow Y$ corresponding to D_k

Def: $Y_k =$ derived substack of Y given by "the image of \tilde{Y}_k ":

More precisely, consider the subsheaf of Y_k given by:

$$\begin{array}{ccc} D & \hookrightarrow & S \\ \downarrow & \circledast & \downarrow \\ D_k = \text{Spec}(A_k / T_k) & \rightarrow & \text{Spec}(A_k) \\ \downarrow & & \downarrow \\ \{0\} & \rightarrow & \mathbb{A}^n \end{array}$$

i.e. subsheaf given by morphisms factoring through $\text{Spec}(A_k) \rightarrow \mathbb{A}^n$ such that \circledast is homotopy Cartesian.

Rmk: If $S = \text{Spec}(R)$, $f: S \rightarrow \mathbb{A}^n \leftrightarrow (f_1, \dots, f_n) \in R$

$$S \rightarrow \text{Spec}(A_k) \rightarrow \text{Spec}(\mathbb{Z}[T_1, \dots, T_n])$$

$$(f_1, \dots, f_n) \leftrightarrow \mathbb{Z}[T_1, \dots, T_n] \rightarrow \mathbb{Z}[T_1/T_k, \dots, T_k] \rightarrow R$$

$$T_i \longmapsto f_i$$

Then $D = \text{Spec}(R // (f_k))$.

Claim: $Y_k \cong \text{Spec}(A_k)$.

Lemma: The family $(Y_k \hookrightarrow Y)_k$ defines a Zariski atlas for the derived stack Y (i.e. $\coprod Y_k \rightarrow Y$ is an effective epi of sheaves of spaces).